



SPENCER J. COX
Governor

DEIDRE M. HENDERSON
Lieutenant Governor

State of Utah

Department of Commerce

Division of Securities

MARGARET W. BUSSE
Executive Director

JASON E. STERZER
Director, Division of Securities

Last edit September 2022

UTAH LAWS AND REGULATIONS REPORTING ON VULNERABLE ADULT ELDER ABUSE, NEGLECT, OR EXPLOITATION			
What	Who	When	How
Notification of possible elder abuse, neglect, or exploitation; not involving financial exploitation in a securities account	Utah Adult Protective Services (“APS”) (Required) and Local Law Enforcement for identity theft, internet/phone fraud schemes, etc.	Emergency: Dial 911 Non-Emergency: As soon as reasonable belief is discovered.	Utah APS 1-800-371-7897 Utah APS On-line Form: https://utahaps.com/Intake/NewPublicIntakeReport.aspx
Notification of possible elder abuse, neglect, or exploitation; involving financial exploitation in a securities account	Utah APS (Required) And Local Law Enforcement for identity theft, internet/phone fraud schemes, etc. Utah Securities Division (Required)	Emergency: Dial 911 Non-Emergency: As soon as reasonable belief is discovered	Utah APS 1-800-371-7897 https://utahaps.com/Intake/NewPublicIntakeReport.aspx Utah Securities Division 1-801-530-6600 or securities@utah.gov
Notification of delaying a transaction due to suspicion of financial exploitation or reasonable belief of financial exploitation in a securities account	Adult Protective Services (Required) Utah Securities Division (Required) Any non-suspected party with authority to act on the account in question (Required)	Within two (2) business days after the requested transaction notify APS and Utah Securities Division Within fifteen (15) business days after the requested transaction the processed transaction or communicate continued concerns to APS and Utah Securities Division	Utah APS 1-800-371-7897 https://utahaps.com/Intake/NewPublicIntakeReport.aspx Utah Securities Division 1-801-530-6600 or securities@utah.gov