

State of Utah Department of Commerce Division of Securities

DEIDRE M. HENDERSON Lieutenant Governor

Governor

MARGARET W. BUSSE Executive Director

JASON E. STERZER Director, Division of Securities

Last edit September 2022

UTAH LAWS AND REGULATIONS REPORTING ON VULNERABLEADULT ELDER ABUSE, NEGLECT,			
OR EXPLOITATION			
What	Who	When	How
Notification of possible	Utah Adult Protective	Emergency: Dial 911	Utah APS
elder abuse, neglect, or	Services ("APS")		1-800-371-7897
exploitation; not involving	(Required)	Non-Emergency: As soon	Utah APS On-line Form:
financial exploitation in a	and	as reasonable belief is	https://utahaps.com/Intak
securities account	Local Law Enforcement for	discovered.	e/NewPublicIntakeReport.
	identity theft,		aspx
	internet/phone fraud		
	schemes, etc.	5.154	
Notification of possible	Utah APS (Required)	Emergency: Dial 911	Utah APS
elder abuse, neglect, or	And		1-800-371-7897
exploitation; <u>involving</u>	Local Law Enforcement	Non-Emergency: As soon	https://utahaps.com/Intak
financial exploitation in a	for identity theft,	as reasonable belief is	<u>e/NewPublicIntakeReport.</u>
securities account	internet/phone fraud	discovered	<u>aspx</u>
	schemes, etc.		Litale Convention Division
	Utah Securities Division		Utah Securities Division 1-801-530-6600 or
	(Required)		
	(Nequireu)		securities@utah.gov
Notification of delaying a	Adult Protective Services	Within two (2) business	Utah APS
transaction due to	(Required)	days after the requested	1-800-371-7897
suspicion of financial		transaction notify APS and	https://utahaps.com/Intak
exploitation or reasonable	Utah Securities Division	Utah Securities Division	e/NewPublicIntakeReport.
belief of financial	(Required)		aspx
exploitation in a securities		Within fifteen (15)	
account	Any non-suspected party	business days after the	Utah Securities Division
	with authority to act on	requested transaction the	1-801-530-6600 or
	the account in question	processed transaction or	securities@utah.gov
	(Required)	communicate continued	
		concerns to APS and Utah	
		Securities Division	