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IN THE THIRD DISTRICT COURT, SALT LAKE DEPARTMENT
IN AND FOR THE COUNTY OF SALT LAKE, STATE OF UTAH

THE STATE OF UTAH,

Plaintiff,

-vs-

LOUIS DEAN PARRISH
DOB 01/01/2010
9963 Summit View Drive
Sandy, UT 84092
D.L.#
OTN
SO#

Defendant.

Screened by: STEVEN GIBBON
Assigned to: STEVEN GIBBON

DAO # 11011504

ECR Status: **Non-ECR**
Initial Appearance:

SUMMONS TO BE ISSUED
Warrant/Release: Not Booked

INFORMATION

Case No.

The undersigned Douglas Wawrzynski - UTAH DIVISION OF SECURITIES, Agency Case No. 08-0037, upon a written declaration states on information and belief that the defendant, LOUIS DEAN PARRISH, committed the crimes of:

COUNT 1

SECURITIES FRAUD, 61-1-1 UCA and 61-1-21 UCA, a Second Degree Felony, as follows:

That on or about January 9, 2006, in Salt Lake County, State of Utah the defendant LOUIS DEAN PARRISH, a party to the offense, did willfully, in connection with the offer, sale, or purchase of a security, directly or indirectly, (1)(a) employ a device, scheme, or artifice to defraud; (b) engage in an act, practice, or course of business which operates or would operate as a fraud or deceit upon any person; and (2)(a) at the time the crime was committed, the property, money, or thing unlawfully obtained or sought to be obtained was worth \$10,000 or more; or (b)(i) at the time the crime was committed, the property, money, or thing unlawfully obtained or sought to be obtained was worth less than \$10,000; and (ii) in connection with that violation, the violator knowingly accepted any money representing: (A) equity in a person's primary residence; (B) a withdrawal from any individual retirement account; or (C) a withdrawal from any qualified retirement plan as defined in the Internal Revenue Code.

COUNT 2

SECURITIES FRAUD, 61-1-1 UCA and 61-1-21 UCA, a Second Degree Felony, as follows:
That on or about May 19, 2006, in Salt Lake County, State of Utah the defendant LOUIS DEAN PARRISH, a party to the offense, did willfully, in connection with the offer, sale, or purchase of a security, directly or indirectly, (1)(a) employ a device, scheme, or artifice to defraud; (b) engage in an act, practice, or course of business which operates or would operate as a fraud or deceit upon any person; and (2)(a) at the time the crime was committed, the property, money, or thing unlawfully obtained or sought to be obtained was worth \$10,000 or more; or (b)(i) at the time the crime was committed, the property, money, or thing unlawfully obtained or sought to be obtained was worth less than \$10,000; and (ii) in connection with that violation, the violator knowingly accepted any money representing: (A) equity in a person's primary residence; (B) a withdrawal from any individual retirement account; or (C) a withdrawal from any qualified retirement plan as defined in the Internal Revenue Code.

COUNT 3

SECURITIES FRAUD, 61-1-1 UCA and 61-1-21 UCA, a Second Degree Felony, as follows:
That on or about June 1, 2006, in Salt Lake County, State of Utah the defendant LOUIS DEAN PARRISH, a party to the offense, did willfully, in connection with the offer, sale, or purchase of a security, directly or indirectly, (1)(a) employ a device, scheme, or artifice to defraud; (b) engage in an act, practice, or course of business which operates or would operate as a fraud or deceit upon any person; and (2)(a) at the time the crime was committed, the property, money, or thing unlawfully obtained or sought to be obtained was worth \$10,000 or more; or (b)(i) at the time the crime was committed, the property, money, or thing unlawfully obtained or sought to be obtained was worth less than \$10,000; and (ii) in connection with that violation, the violator knowingly accepted any money representing: (A) equity in a person's primary residence; (B) a withdrawal from any individual retirement account; or (C) a withdrawal from any qualified retirement plan as defined in the Internal Revenue Code.

COUNT 4

SECURITIES FRAUD, 61-1-1 UCA and 61-1-21 UCA, a Second Degree Felony, as follows:
That on or about July 10, 2006, in Salt Lake County, State of Utah the defendant LOUIS DEAN PARRISH, a party to the offense, did willfully, in connection with the offer, sale, or purchase of a security, directly or indirectly, (1)(a) employ a device, scheme, or artifice to defraud; (b) make any untrue statement of a material fact or omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they are made, not misleading; or (c) engage in an act, practice, or course of business which operates or would operate as a fraud or deceit upon any person; and (2)(a) at the time the crime was committed, the property, money, or thing unlawfully obtained or sought to be obtained was worth \$10,000 or more; or (b)(i) at the time the crime was committed, the property, money, or thing unlawfully obtained or sought to be obtained was worth less than \$10,000; and (ii) in connection with that

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violation, the violator knowingly accepted any money representing: (A) equity in a person's primary residence; (B) a withdrawal from any individual retirement account; or (C) a withdrawal from any qualified retirement plan as defined in the Internal Revenue Code.

COUNT 5

SECURITIES FRAUD, 61-1-1 UCA and 61-1-21 UCA, a Second Degree Felony, as follows:

That on or about August 4, 2006, in Salt Lake County, State of Utah the defendant LOUIS DEAN PARRISH, a party to the offense, did willfully, in connection with the offer, sale, or purchase of a security, directly or indirectly, (1)(a) employ a device, scheme, or artifice to defraud; (b) make any untrue statement of a material fact or omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they are made, not misleading; or (c) engage in an act, practice, or course of business which operates or would operate as a fraud or deceit upon any person; and (2)(a) at the time the crime was committed, the property, money, or thing unlawfully obtained or sought to be obtained was worth \$10,000 or more; or (b)(i) at the time the crime was committed, the property, money, or thing unlawfully obtained or sought to be obtained was worth less than \$10,000; and (ii) in connection with that violation, the violator knowingly accepted any money representing: (A) equity in a person's primary residence; (B) a withdrawal from any individual retirement account; or (C) a withdrawal from any qualified retirement plan as defined in the Internal Revenue Code.

COUNT 6

SECURITIES FRAUD, 61-1-1 UCA and 61-1-21 UCA, a Second Degree Felony, as follows:

That on or about October 4, 2006, in Salt Lake County, State of Utah the defendant LOUIS DEAN PARRISH, a party to the offense, did willfully, in connection with the offer, sale, or purchase of a security, directly or indirectly, (1)(a) employ a device, scheme, or artifice to defraud; (b) make any untrue statement of a material fact or omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they are made, not misleading; or (c) engage in an act, practice, or course of business which operates or would operate as a fraud or deceit upon any person; and (2)(a) at the time the crime was committed, the property, money, or thing unlawfully obtained or sought to be obtained was worth \$10,000 or more; or (b)(i) at the time the crime was committed, the property, money, or thing unlawfully obtained or sought to be obtained was worth less than \$10,000; and (ii) in connection with that violation, the violator knowingly accepted any money representing: (A) equity in a person's primary residence; (B) a withdrawal from any individual retirement account; or (C) a withdrawal from any qualified retirement plan as defined in the Internal Revenue Code.

COUNT 7

PATTERN OF UNLAWFUL ACTIVITY, 76-10-1603 UCA, a Second Degree Felony, as follows: That on or about October 1, 2005 through October 4, 2006, in Salt Lake County, State of Utah the defendant LOUIS DEAN PARRISH, a party to the offense, did, (a) having received any proceeds derived, whether directly or indirectly, from a pattern of unlawful activity in which the defendant had participated as a principal, use or invest, directly or indirectly, any part of that income, or the proceeds of the income, or the proceeds derived from the investment or use of those proceeds, in the acquisition of any interest in, or the establishment or operation of, any enterprise; (b) through a pattern of unlawful activity, acquire or maintain, directly or indirectly, any interest in or control of any enterprise; (c) having been employed by or associated with any enterprise, conduct or participate, whether directly or indirectly, in the conduct of that enterprise's affairs through a pattern of unlawful activity; or (d) conspire to violate any of the above provisions.

COUNT 8

EXPLOITATION OF A VULNERABLE ADULT, 76-5-111(4)(a)(i) UCA, a Second Degree Felony, as follows: That on or about October 1, 2005 through October 4, 2006, in Salt Lake County, State of Utah the defendant LOUIS DEAN PARRISH, a party to the offense, did intentionally or knowingly, by deception or intimidation, obtain or use, or endeavor to obtain or use, a vulnerable adult's funds, credit, assets, or other property with the intent to temporarily or permanently deprive the vulnerable adult of the use, benefit, or possession of the adult's property, for the benefit of someone other than the vulnerable adult, and the actor was in a position of trust and confidence or had a business relationship with the vulnerable adult or had undue influence over the vulnerable adult, and the aggregate value of the resources used or the profit made was or exceeded \$5,000.

COUNT 9

COMMUNICATIONS FRAUD, 76-10-1801 UCA, a Second Degree Felony, as follows: That on or about October 1, 2005 through October 4, 2006, in Salt Lake County, State of Utah the defendant LOUIS DEAN PARRISH, a party to the offense, did devise a scheme or artifice to defraud another or to obtain from another money, property, or anything of value by means of false or fraudulent pretenses, representations, promises, or material omissions, and communicated directly or indirectly with a person by any means for the purpose of executing or concealing the scheme or artifice; and (a) the value of the property, money, or thing obtained or sought to be obtained was or exceeded \$5,000; or (b) the object or purpose of the scheme or artifice to defraud was the obtaining of sensitive personal identifying information, regardless of the value.

THIS INFORMATION IS BASED ON EVIDENCE OBTAINED FROM THE FOLLOWING WITNESSES:

Douglas Wawrzynski and Vernon Gish

DECLARATION OF PROBABLE CAUSE:

Overview

Louis Dean Parrish ("Parrish") is an individual who at all relevant times resided in Salt Lake County, Utah. Utah Division of Securities records reveal that Parrish was licensed as a General Registered Representative. Parrish held a S6 and S63 license. Parrish's licenses terminated on December 19, 2001.

J N L Investments is registered with the Utah Department of Commerce, Division of Corporations. On February 3, 1999, J N L Investments filed a DBA with the Division of Corporations. The status of the name reservation is expired, failure to file renewal as of July 26, 2002. Utah Division of Securities records reveal no securities registration, exemption from registration, nor any notice filing in any manner for J N L Investments.

On April 4, 2011, Vernon Gish ("Gish") submitted a complaint to the Division against Louis D. Parrish ("Parrish"). On April 6, 2011, Gish came to the Division of Securities to discuss his investments made with Parrish in 2005 and 2006.

Between October 1, 2005 and April 20, 2005, Parrish sold Gish no fewer than seven promissory notes. Promissory notes are securities as defined by Utah Code §61-1-13.

Between September 20, 2005 and May 29, 2007, Gish's records and Parrish's bank records show Gish invested \$538,576.24 via a combination of personal checks and official bank checks. Between November 25, 2005 and June 6, 2007, bank records show J & L Mortgage and Investments distributed \$307,828.48 to Gish and/or Gish's family foundation in the form of checks drawn from account *3898. Gish has lost no less than \$230,747.76 of his principal investment. Gish does not have a record of receiving any promissory note for several of the investments. In the absence of a promissory note, the offering of profit in return for the use of another person's funds could be an investment contract. Investment contracts are securities as defined by Utah Code Annotated §61-1-13.

Offers and Sales

Gish met Parrish in 2005 when Parrish came to Gish's home in West Jordan with Ryan Prescott ("Prescott"). Parrish stated he owned a company based in Sandy, UT called J & L Mortgage and Investments ("J&L"). Parrish provided documentation encouraging Gish to take equity out of his home for the purpose of investing. Parrish stated lots of investments come across his desk and he

could generate returns for Gish sufficient to make the mortgage payments on Gish's home, provide enough for Gish to live off of, and have enough left over for Gish to make charitable contributions. Prescott then offered to establish a charitable foundation for Gish so investment income from Parrish's investments could be paid directly into the foundation and avoid a taxable event for Gish.

Sometime after this meeting, Gish paid Prescott \$5,000 to establish the charitable foundation. Prescott provided Gish with documentation the foundation had been established. Later however, the IRS would inform Gish the foundation had not been properly created and did not recognize its existence.

Sometime after the initial meeting, but before Gish invested with Parrish, Parrish refinanced Gish's home and pulled approximately \$253,000 of equity out of Gish's home. Over the next two years Gish would write Parrish several checks for Parrish to invest on Gish's behalf. Prior to investing Gish and Parrish agreed Gish's funds would be diversified among several short term investments. Gish does not recall Parrish telling Gish what each investment was for but Gish recalls Parrish describing various real estate projects such as developing real estate lots, building a shopping center, moving pre-built homes from China for assembly in Hawaii.

Gish's records indicate he invested with Parrish on sixteen separate occasions. Gish expected his funds would return a profit through Parrish's efforts. Gish' records indicate he received seven promissory notes, the total of which does not reflect the total amount Gish invested with Parrish via personal checks. Gish states Parrish would call Gish at home or visit Gish at his home in West Jordan whenever Parrish wanted Gish to invest additional funds.

Gish states he and Parrish discussed Parrish investing all his funds. Gish did not authorize Parrish to spend the funds on personal expenses, to pay previous investors or other debts. Each investment was to be paid back to Gish with principal and interest. Parrish was not authorized to roll the proceeds of one investment into another.

Securities Fraud - 2nd Degree Felony
(Count I)

On or about January 9, 2006, Parrish contacted Gish and requested Gish invest additional funds. Gish invested \$17,500 via a Promotional Balance Transfer check no 8574 dated January 9, 2006.

Use of Funds

On January 9, 2006, Vernon Gish's investment check for \$17,500 was deposited into Account 090003898 at Zion's Bank in the name of J & L Mortgage and Investments. The account had a prior balance of \$27,172.82. Between January 6, 2006 and November 27, 2006, Louis Parrish, Jacki Parrish, Theresa Duke, Marty D. Wescott and Norma M. Noss were authorized signers on

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the account. The following is a summary of the use of investor funds using "First In, First Out" analysis (FIFO).

01/12/2006 Check to Experience Mtg. signed by Parrish¹ \$1,719.42²

01/12/2006 Check to Brian Ranck signed by Parrish³ \$2,800

01/13/2006 Transfer to *7335⁴ \$500

01/13/2006 Transfer to *7335 \$500

01/13/2006 Transfer to *7335 \$150

01/13/2006 IWizard Holding Inc. AZ⁵ \$19.95

01/13/2006 Check to Zion's Bank signed by Parrish⁶ \$10,000

01/17/2006 Transfer to *7342 \$10,815⁷

No less than \$14,731.21 was used for paying previous investors or company expenses and were not invested.

Securities Fraud - 2nd Degree Felony
(Count II)

On or about May 19, 2006, Parrish contacted Gish and requested Gish invest additional funds. Gish invested \$7,200 via a Zion's Bank Official Check 61-462496267 made to Louis Parrish dated May 19, 2006.

¹ This check was deposited into the account for Consumer Mortgage Services & Experience (019024603). The funds were dispersed for payroll and monthly bills such as rent and utilities.

² \$781.21 is Gish's funds.

³ Ranck is later a signer on this account as well as accounts for Consumer Mortgage Services & Experience Mortgage. On April 12, 2011, I spoke with Ranck on his cell phone (801-809-8833). Ranck said he is Parrish's brother-in-law (Ranck's wife is Parrish's sister). Ranck said he was an investor with Parrish and Parrish currently owes Ranck "several hundred thousand dollars." Ranck also stated Parrish agreed to purchase Consumer Mortgage Services & Experience from Ranck, but Parrish never fully paid for the company. Ranck states he did not invest funds for Parrish.

⁴ Louis and Jacki Parrish are authorized signers on this account. Charges in this account appear to be exclusively personal expenses.

⁵ IWizard Holding advertises as a computer services company.

⁶ "Floyd Burnett" is written in the memo field of this check. Burnett receives other checks with "Midway Int" written in the memo line.

⁷ \$2,748.84 is Gish's funds.

Use of Funds

On May 19, 2006, Vernon Gish's investment check for \$7,200 was deposited into Account 090003898 at Zion's Bank in the name of J & L Mortgage and Investments. The account had a prior balance of \$158.88. Between January 6, 2006 and November 27, 2006, Louis Parrish, Jacki Parrish, Theresa Duke, Marty D. Wescott and Norma M. Noss were authorized signers on the account. The following is a summary of the use of investor funds using FIFO.

05/19/2006 Check to Marketel (Memo: May Dialer Pmt) \$938.95⁸

05/22/2006 Withdrawal by Louis Parrish \$1,800

05/22/2006 Transfer to *7335 \$400

05/22/2006 ATM in Sandy, UT \$100

05/22/2006 Chevron, Sandy, UT \$3.64

05/22/2006 Check to Vernon Gish (Memo: Short term loan) \$2,500

05/22/2006 Check to Kathy Havens (Memo: Int.) \$1,200

05/23/2006 Transfer to *7335 \$500⁹

No less than \$5,242.59 was used for paying previous investors, personal expenses, company expenses or returned to Gish as a return on his investment and was not invested

Securities Fraud - 2nd Degree Felony
(Count III)

On or about June 1, 2006, Parrish contacted Gish and requested Gish invest additional funds. Gish invested \$17,500 via a Zion's Bank Official Check 61-678909039 made to Louis Parrish dated June 1, 2006.

Use of Funds

On June 1, 2006, Vernon Gish's investment check for \$17,500 was deposited into Account 090003898 at Zion's Bank in the name of J & L Mortgage and Investments. The account had a prior balance of \$8,009.66. Between January 6, 2006 and November 27, 2006, Louis Parrish, Jacki Parrish, Theresa Duke, Marty D. Wescott and Norma M. Noss were authorized signers on the account. The following is a summary of the use of investor funds using FIFO.

06/02/2006 Monica Bowen (Memo: Loan + \$200 int.) \$7,700¹⁰

06/02/2006 Gish Family Foundation (Memo: 1009, 1001, 1002) \$2,470

06/05/2006 Café Rio \$21.34

06/05/2006 Telephone Transfer¹¹ \$3,000

06/05/2006 Telephone Transfer \$2,400

06/05/2006 Chris Seeley (Memo: Loan 1006) \$4,000

06/05/2006 Check to Robert Nielsen (Memo: #1000) \$280¹²

⁸ \$930.07 is Gish's funds.

⁹ \$266.29 is Gish's funds.

¹⁰ \$5,462.57 is Gish's funds.

¹¹ Deposited to *7335

No less than \$12,100 was used for paying previous investors, personal expenses or returned to Gish as investment returns and was never invested.

Securities Fraud - 2nd Degree Felony
(Count IV)

On or about July 10, 2006, Parrish contacted Gish and requested Gish invest additional funds. Gish invested \$58,300 via a Zion's Bank check no. 127 made to Lewis Parrish dated July 10, 2006 for \$45,000 and a Zion's Bank check no. 128 to Lewis Parrish dated July 10, 2006 for \$13,300.

Use of Funds

On July 10, 2006, Vernon Gish's investment checks for \$13,300 and \$45,000 were deposited into Account 090003898 at Zion's Bank in the name of J & L Mortgage and Investments. The account had a prior balance of \$98,535.07. Between January 6, 2006 and November 27, 2006, Louis Parrish, Jacki Parrish, Theresa Duke, Marty D. Wescott and Norma M. Noss were authorized signers on the account. The following is a summary of the use of investor funds using FIFO.

07/10/2006 Louis Parrish (Counter Check)¹³ \$6,000¹⁴
07/10/2006 Check to Theresa Duke (Memo: For June) \$2,500
07/10/2006 Check to Candlelight Plaza (Memo: Office Rent) \$1,500
07/10/2006 Check to Savoia Construction (Memo: Loan) \$8,800
07/10/2006 Check to Vern Gish Family Foundation (1002, 1001...) \$3,170
07/10/2006 Check to Norma Noss (Memo: Loan) \$19,000
07/11/2006 Wire to Mark Piena (Memo: For Amasol Loan) \$12,697
07/11/2006 Transfer to *7335 \$2,000
07/11/2006 MyMusicInc. (UT) \$33.90
07/11/2006 Wire Fee \$15
07/11/2006 ATM Fee \$1
07/11/2006 Solutions Providers Group (Meme: Phone system install) \$1,777.42
07/11/2006 Phil Howdyshell (Memo: Barbara Taylor) \$277.35
07/11/2006 Workers Compensation Fund \$50
07/11/206 Transfer to *1056 Unknown Acct. \$1,538¹⁵

No less than \$8,497.32 was used for paying previous investors, business expenses or returned to Gish as investment returns and was never invested.

¹² \$146.09 is Gish's funds.

¹³ Deposited to *7335.

¹⁴ \$5,793.86 is Gish's funds.

¹⁵ \$684.47 is Gish's funds.

Securities Fraud - 2nd Degree Felony
(Count V)

On or about August 4, 2006, Parrish contacted Gish and requested Gish invest additional funds. Gish invested \$7,200 via a Zion's Bank check no. 126 made to Lewis Parrish dated August 4, 2006.

Use of Funds

On August 4, 2006, Vernon Gish's investment check for \$7,200 was split into two transactions. The first \$5,000 was never deposited but instead used to purchase a Zion's Bank official check (61-678909419) and made to Mary Ruth Orland. The remaining \$2,200 was deposited into Account 090003898 at Zion's Bank in the name of J & L Mortgage and Investments. The account had a prior balance of \$621.90. Between January 6, 2006 and November 27, 2006, Louis Parrish, Jacki Parrish, Theresa Duke, Marty D. Wescott and Norma M. Noss were authorized signers on the account.

On the same day \$1,500 was deposited to account *3898 and \$8,260.37 in withdrawals posted to the account. As of the end of business on August 4, 2006, the account was overdrawn by \$3,938.47.

The following is a summary of the withdrawals made on August 4, 2006.

Fetuao Tuiasosopo16; OBI Wegesend Jr. (Wire Out) \$6,787.30.

Internet Xfer To DDA ***1457 \$500

Costco \$351.77

Conoco \$72.40

Bank Fee (Wire Fee) \$15

Kroll Factual Data \$533.90

Securities Fraud - 2nd Degree Felony
(Count VI)

On or about October 4, 2006, Parrish contacted Gish and requested Gish invest additional funds. Gish invested \$22,000 via a Zion's Bank check no. 140 made to Lewis Parrish dated October 4, 2006.

Predicate Statements

In or about October 2005, Parrish told Gish, Parrish would invest Gish's funds and generate adequate profits for Gish to make mortgage payments, live off of, and make charitable contributions.

Omissions of Material Facts

Prior to accepting all of the above described investment funds from Gish, Parrish made the following omissions of material facts a responsible investor would rely on when deciding to invest:

Parrish had previously misused Gish's funds.

Parrish filed for bankruptcy in 2001.

J&L's operating history.

Parrish's experience in investing.

J&L's financial statements.

J&L's current capitalization.

Parrish did not disclose the rate of return or maturity on investments made in which Parrish did not provide promissory notes.

J&L's track record for investors.

The risk of loss.

The number of other investors with Parrish and/or J&L.

Suitability of the investments to Gish.

How the money would be invested.

Any commissions or compensation for selling the security.

Whether the security is registered or exempt from registration.

Whether Parrish was licensed to sell securities

Gish states, after Parrish received all the funds Gish was willing to invest, closed his Utah office and disappeared. Gish tracked down Parrish in 2007 and found Parrish living in Hawaii. Gish requested his money back. Parrish reported he was working on importing pre-built homes from China and assembling them in Hawaii. Parrish stated he planned on having Gish paid off in full by the start of school in 2007. Parrish did not pay Gish off on time and instead called Gish requesting money to buy a plane ticket to return to Utah. Gish declined to give Parrish any more money.

In or about March 2011, Gish asked Parrish where all his funds had been invested. Parrish hand delivered a letter addressed to "Vern and Gloria." The letter states, "These are the projects that I have done were the use of your funds were used." The letter describes following four projects:

1.

"The Midway Project." Described as a housing project that lost money.

A "16 plex" in Ogden that turned out to be an "alligator."

Crow Creek property consisting of 235 acres in Mont Pielier, Idaho.

A \$1.8 million Las Vegas property that lost money.

The letter makes material omissions regarding where the funds were used in an effort to conceal Parrish's fraudulent activity. Use of funds not disclosed in the letter include, but are not limited to:

Paying previous investors.

Business operating expenses.

Personal expenses.

Returning funds to Gish as return on investment that were never actually invested.

Summary of Investments 2006

Promotional Balance Transfer check no 8574 dated January 9, 2006 for \$17,500.

USBank check no. 428 to Louis Parrish dated January 13, 2006 for \$7,000.

USBank check no. 333 to Louis Parrish dated March 29, 2006 for \$20,000.

Zion's Bank Official Check 61-462496267 to Louis Parrish dated May 19, 2006 for \$7,200.

Zion's Bank Official Check 61-678909039 to Louis Parrish dated June 1, 2006 for \$17,500.

Zion's Bank check no. 127 to Lewis Parrish dated July 10, 2006 for \$45,000.

Zion's Bank check no. 128 to Lewis Parrish dated July 10, 2006 for \$13,300.

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Zion's Bank check no. 126 to Lewis Parrish dated August 4, 2006 for \$7,200.

Zion's Bank check no. 140 to Lewis Parrish dated October 4, 2006 for \$22,000.

Zion's Bank check no. 143 to Lewis Parrish dated on or about October 7, 2006 for \$14,376.24.

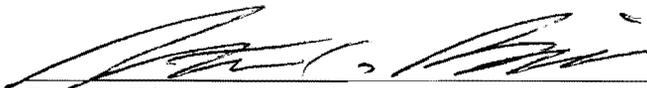
Pursuant to Utah Code Annotated § 78B-5-705
(2008) I declare under criminal penalty of the State
of Utah that the foregoing is true and correct to the
best of my belief and knowledge.

Executed on: _____

DOUGLAS WAWRZYNSKI
Declarant

Authorized for presentment and filing:

SIM GILL, District Attorney



Deputy District Attorney
7th day of July, 2011
JC / DAO # 11011504

DAO # 11011504

IN THE THIRD DISTRICT COURT, SALT LAKE DEPARTMENT
IN AND FOR THE COUNTY OF SALT LAKE, STATE OF UTAH

THE STATE OF UTAH,
Plaintiff,

vs.

LOUIS DEAN PARRISH
01/01/2010,
9963 Summit View Drive
Sandy, UT 84092
SS#

Defendant.

Magistrate:

S U M M O N S

Criminal No.

THE STATE OF UTAH TO LOUIS DEAN PARRISH:

YOU ARE HEREBY SUMMONED to appear before a magistrate of the District Court at the time and place shown below:

DATE: _____ TIME: _____

PLACE: THIRD DISTRICT COURT, SALT LAKE DEPARTMENT
450 SOUTH STATE STREET
SLC UT, 84114-0210

to answer to a charge made against you upon the Information of Douglas Wawrzynski - UTAH DIVISION OF SECURITIES, Agency Case No. 08-0037, for SECURITIES FRAUD (6 counts), Second Degree Felonies, PATTERN OF UNLAWFUL ACTIVITY, a Second Degree Felony, EXPLOITATION OF A VULNERABLE ADULT, a Second Degree Felony, and COMMUNICATIONS FRAUD, a Second Degree Felony, in violation of Utah Code Annotated, 1953, as amended.

Before your court appearance, you are ordered to appear at the Salt Lake County Jail Booking Area, 3415 South 900 West, Salt Lake City, Utah, between the hours of 7:00 a.m. through 10:00 a.m., Wednesday, Saturday or Sunday, to be booked and thereafter, immediately released on your own recognizance to appear as above required.

Dated this ____ day of July, 2011.

Magistrate

Defendant Booked: Date _____ Time _____
Offense Tracking No. _____
Booking Officer: _____

**Metro Jail
Book and Release Instructions**

You have been instructed to report to the Metro Jail to complete the *Book and Release* process. You are being provided the following instructions in order to facilitate this process as quickly as possible.

LOCATION:

The Metro Jail is located at 3415 South 900 West. Parking is available in the visitor's parking lot which is located south of the building. You will need to report to the Security Officer located at the top of the ramp in the visiting area.

TIMES:

4:00 AM to 8:00 AM
10:30 AM to 12:00 PM
3:30 PM to 5:00 PM
8:30 PM to 11:00 PM

If you cannot come during these hours, you will not be turned away; however, Jail staff may be able to process you quicker during these hours. Due to unforeseen operational problems or circumstances beyond our control it may occasionally take several hours to complete the *Book and Release* process. We will make every effort to process you as quickly as possible.

WHAT TO BRING:

Court documents – Summons, Warrants, and/or Pretrial Services documents.
Government issued photo identification such as Driver's License, State ID, Passport or Military ID.

WHAT NOT TO BRING:

Family or friends as seating is very limited.
Children as they cannot be left unattended while you are being processed. Personal items not necessary for the process as it may slow down the process.

Following these guidelines will facilitate this process being completed as quickly as possible. If you have any questions call the Metro Jail at (801) 743-5000 or Pretrial Services at (801) 743-5025. Thank you

DAO # 11011504

IN THE THIRD DISTRICT COURT, SALT LAKE DEPARTMENT
IN AND FOR THE COUNTY OF SALT LAKE, STATE OF UTAH

THE STATE OF UTAH,

Plaintiff,

vs.

LOUIS DEAN PARRISH
01/01/2010,
9963 Summit View Drive
Sandy, UT 84092
SS#

Defendant.

Magistrate:

S U M M O N S

Criminal No.

111905000

THE STATE OF UTAH TO LOUIS DEAN PARRISH:

YOU ARE HEREBY SUMMONED to appear before a magistrate of the District Court at the time and place shown below:

DATE: Sept. 6th, 2011 TIME: 8:30 AM

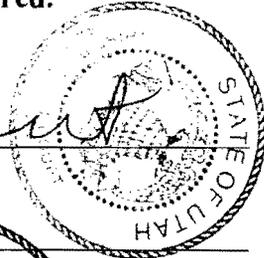
PLACE: THIRD DISTRICT COURT, SALT LAKE DEPARTMENT
450 SOUTH STATE STREET SA1
SLC UT, 84114-0210

to answer to a charge made against you upon the Information of Douglas Wawrzynski - UTAH DIVISION OF SECURITIES, Agency Case No. 08-0037, for SECURITIES FRAUD (6 counts), Second Degree Felonies, PATTERN OF UNLAWFUL ACTIVITY, a Second Degree Felony, EXPLOITATION OF A VULNERABLE ADULT, a Second Degree Felony, and COMMUNICATIONS FRAUD, a Second Degree Felony, in violation of Utah Code Annotated, 1953, as amended.

Before your court appearance, you are ordered to appear at the Salt Lake County Jail Booking Area, 3415 South 900 West, Salt Lake City, Utah, between the hours of 7:00 a.m. through 10:00 a.m., Wednesday, Saturday or Sunday, to be booked and thereafter, immediately released on your own recognizance to appear as above required.

Dated this 7 day of July, 2011.

Robert J. Smith
Magistrate



Defendant Booked: Date _____
Offense Tracking No. _____
Booking Officer: _____

I CERTIFY THAT THIS IS A TRUE COPY OF AN ORIGINAL DOCUMENT ON FILE IN THE THIRD DISTRICT COURT, SALT LAKE COUNTY, STATE OF UTAH.
DATE: July 7th 2011
DAVIDSON
DEPUTY COURT CLERK



RETURN ON SUMMONS

Personal

STATE OF UTAH)
)
 :SS
COUNTY OF SALT LAKE)

I hereby certify and return that I received the within and hereto annexed Summons on the _____ day of _____, 20____, and served the same upon LOUIS DEAN PARRISH, the within named Defendant, personally, by delivering to and leaving with said Defendant, in Salt Lake County, State of Utah, a true copy of said Summons on the ____ day of _____, 20____.

I further certify that, at the time of such service, on the copy of the Summons so served, I endorsed the date and place of service and added my name and official title thereto.

Dated at Salt Lake County, Utah, this ____ day of _____, 20____.

By

**Metro Jail
Book and Release Instructions**

You have been instructed to report to the Metro Jail to complete the *Book and Release* process. You are being provided the following instructions in order to facilitate this process as quickly as possible.

LOCATION:

The Metro Jail is located at 3415 South 900 West. Parking is available in the visitor's parking lot which is located south of the building. You will need to report to the Security Officer located at the top of the ramp in the visiting area.

TIMES:

4:00 AM to 8:00 AM
10:30 AM to 12:00 PM
3:30 PM to 5:00 PM
8:30 PM to 11:00 PM

If you cannot come during these hours, you will not be turned away; however, Jail staff may be able to process you quicker during these hours. Due to unforeseen operational problems or circumstances beyond our control it may occasionally take several hours to complete the *Book and Release* process. We will make every effort to process you as quickly as possible.

WHAT TO BRING:

Court documents – Summons, Warrants, and/or Pretrial Services documents.
Government issued photo identification such as Driver's License, State ID, Passport or Military ID.

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Children as they cannot be left unattended while you are being processed. Personal items not necessary for the process as it may slow down the process.

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